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Attorney Docket No: 600-1-237

#3

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below under our names.

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHODS AND AGENTS FOR INDUCING APOPTOSIS AND METHODS
FOR THEIR IDENTIFICATION**

the Specification of which

- ☐ is attached hereto
☒ was filed on October 19, 1998
as Application Serial No. 09/174,913
and was amended on _____ (if applicable).

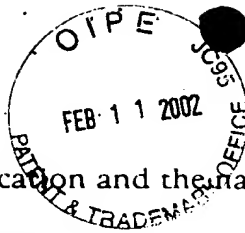
We hereby state that we have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any provisional application filed in the United States in accordance with 35 U.S.C. §1.119(e), or any application for patent that has been converted to a Provisional Application within one (1) year of its filing date, or any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

<u>PRIOR FILED APPLICATION(S)</u>		
<u>APPLICATION</u> <u>NUMBER</u>	<u>COUNTRY (DAY/MONTH/YEAR FILED)</u>	<u>PRIORITY</u> <u>CLAIMED</u>

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior



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application and the national or PCT international filing date of this application:

APPLICATION NO. _____	FILING DATE (DAY/MONTH/YEAR) _____	STATUS - PATENTED, PENDING, ABANDONED _____
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We hereby appoint as our attorneys or agents the following persons: Jack Matalon, (Attorney, Registration No. 22,441); Stefan J. Klauber (Attorney, Registration No. 22,604); David A. Jackson (Attorney, Registration No. 26,742); Michael D. Davis (Attorney, Registration No. 39,161); Christine E. Dietzel (Agent, Registration No. 37,309); William C. Coppola (Attorney, Registration No. 41,686); Mark S. Cohen (Attorney, Registration No. 42,425); Donald J. Cox, Jr. (Attorney, Registration No. 37,804); Yuan Kong (Agent, Registration No. P-43,728); and Michael A. Yamin (Agent, Registration No. P-44,414), said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to:

DAVID A. JACKSON, ESQ.
KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST INVENTOR : ROBERT B. DARNELL

COUNTRY OF CITIZENSHIP : United States

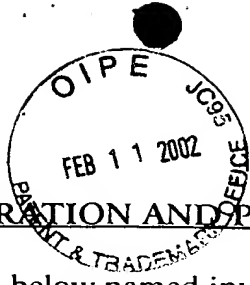
FULL RESIDENCE ADDRESS : 236 Elderwood Avenue
Pelham, New York 10803

FULL POST OFFICE ADDRESS : 236 Elderwood Avenue
Pelham, New York 10803

SIGNATURE OF INVENTOR _____
DATE _____

FULL NAME OF SECOND INVENTOR : JOHN P. CORRADI
COUNTRY OF CITIZENSHIP : United States
FULL RESIDENCE ADDRESS : 1601 Argonne Place, NW #433
Washington, DC 20009
FULL POST OFFICE ADDRESS : 1601 Argonne Place, NW #433
Washington, DC 20009
SIGNATURE OF INVENTOR John P. Corradi
DATE December 12, 1998

FULL NAME OF THIRD INVENTOR : WOONG-YANG PARK
COUNTRY OF CITIZENSHIP : Korea
FULL RESIDENCE ADDRESS : Chamwonhanshin Apt. 1-905
Seochogu, Seoul
137-796, KOREA
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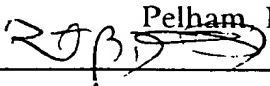
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FULL NAME OF FIRST INVENTOR	:	<u>ROBERT B. DARNELL</u>
COUNTRY OF CITIZENSHIP	:	United States
FULL RESIDENCE ADDRESS	:	236 Elderwood Avenue Pelham, New York 10803
FULL POST OFFICE ADDRESS	:	236 Elderwood Avenue Pelham, New York 10803
SIGNATURE OF INVENTOR	:	<u></u>
DATE	:	<u>12-16-75</u>

FULL NAME OF SECOND INVENTOR : JOHN P. CORRADI
COUNTRY OF CITIZENSHIP : United States
FULL RESIDENCE ADDRESS : 1601 Argonne Place, NW #433
Washington, DC 20009
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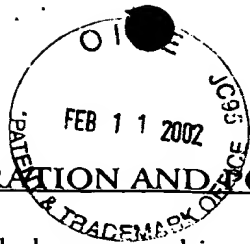
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DATE _____

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COUNTRY OF CITIZENSHIP : Korea
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DATE _____

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Seochogu, Seoul
137-796, KOREA

SIGNATURE OF INVENTOR Woonyang Park

DATE DEC. 18, 1998

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney Docket No. 600-1-237

To the Assistant Commissioner for Patents

Name of conveying party(ies): Robert B. Darnell; John P. Corradi; and Woong-Yang Park		2. Name and address of receiving party(ies): Name: <u>The Rockefeller University</u> Address: <u>1230 York Avenue</u> <u>New York, New York 10021-6399</u>	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name Other: _____			
Execution Date: <u>December 16, 1998 (respectively);</u> <u>December 12, 1998 (respectively);</u> <u>December 18, 1998 (respectively)</u>			

Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/174,913

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson

Address: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

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☐ Authorized to be charged to deposit account, if necessary - for overages or underpayments only

8. Deposit account number:

11-1153

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Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael A. Yamin, Reg. No. P-44,414
Name of Person Signing

Michael A. Yamin
Signature

1/25/99
Date

Total number of pages including cover sheet, attachments, and document: 10

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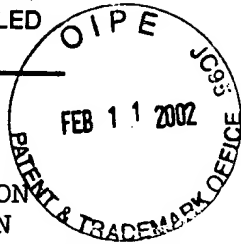
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Assistant Commissioner for Patents
Box Assignments
Washington, D.C. 20231

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MAY 06, 1999

KLAUBER & JACKSON
DAVID A. JACKSON
411 HACKENSACK AVENUE, 4TH FLOOR
HACKENSACK, NEW JERSEY 07601



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Patent and Trademark Office**

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Washington, D.C. 20231



100962115A

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/27/1999

REEL/FRAME: 9745/0178
NUMBER OF PAGES: 10

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
DARNELL, ROBERT B.

DOC DATE: 12/16/1998

ASSIGNOR:
CORRADI, JOHN P.

DOC DATE: 12/12/1998

ASSIGNOR:
PARK, WOONG-YANG

DOC DATE: 12/18/1998

ASSIGNEE:
ROCKEFELLER UNIVERSITY, THE
1230 YORK AVENUE
NEW YORK, NEW YORK 10021-6399

SERIAL NUMBER: 09174913
PATENT NUMBER:

FILING DATE: 10/19/1998
ISSUE DATE: